



PATENT

1741

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Rod D. Baker, Reg. No. 35,434

August 30, 2001  
(Date)

#2  
C.T.  
10/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas P. Griego, et al. : Art Unit: 1741  
Serial No.: 09/872,214 : Examiner: Unknown  
Filed: May 31, 2001 :  
For: SUBMICRON AND NANO :  
SIZE PARTICLE :  
ENCAPSULATION BY :  
ELECTROCHEMICAL :  
PROCESS AND APPARATUS :

RECEIVED  
SEP 7 2001  
TC 1700

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

SIR:

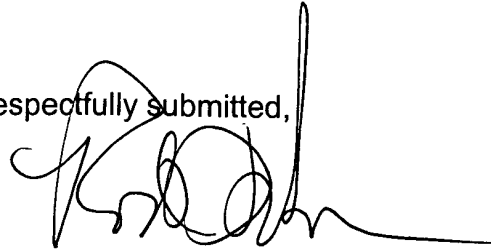
Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the application and prior to the mailing date of a first Office action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Rod D. Baker', written over the closing text.

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Dated: August 30, 2001

Enclosures: PTO Forms 1449;  
Copy of References

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>COMPLETE IF KNOWN</b>		
				Application Number	09/872,214	
				Filing Date	May 31, 2001	
				First Named Inventor	Thomas P. Griego	
				Group Art Unit	474T 1753	
				Examiner Name	UNKNOWN B. Mutschler	
Sheet	1	of	1	Attorney Docket Number		31248-1001

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Kind Code <sup>2</sup> Number	(if known)			
BLM		3,359,195		K. Hojyo	12/19/1967	
BLM		3,425,926		K. Hojyo	02/04/1969	
BLM		5,487,824		T.P. Griego	01/30/1996	
BLM		5,565,079		T.P. Griego	10/15/1996	
BLM		5,879,520		T.P. Griego	03/09/1999	
RECEIVED SEP 7 2001 TC 1700						

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup> 5	Number <sup>4</sup>	Kind Code (if known)				

Examiner Signature	Brian L Mutschler	Date Considered	8/14/2003
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

F:\Diane\Surfact\submicron-1449form.doc DSN 31248-1001

1. Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.